

# GFDL's Finite-Volume Dynamical Core on the Cubed-Sphere

*S.-J. Lin*

## Outline:

- What is a (finite-volume) “dynamical core” ?
- Fundamentals of the FV core
  - The multi-dimensional algorithm (Lin and Rood 1996)
  - Shallow water formulation (Lin and Rood 1997)
  - Finite-Volume algorithm for computing pressure gradient (Lin 1997)
  - The vertically Lagrangian finite-volume discretization
  - The non-hydrostatic solvers (RIM and SIM)
- Why do we choose the cubed-sphere grid ?
- The “island preserving” terrain filter
- Nonlinear test cases
- Two variable resolution options (stretched and nested grids)

## Governing equations of a hydrostatic finite-volume model

### Mass conservation:

$$\frac{\partial}{\partial t} \delta p^* + \nabla_h \cdot [\vec{V} \delta p^*] = 0$$

$$\frac{\partial}{\partial t} (q \delta p^*) + \nabla_h \cdot [\vec{V} q \delta p^*] = S$$

The 4 dotted red boxes (S, Fu, Fv, and H) represent “**Physics**” and/or the “**Chemistry**”; the rest are “dynamical core”

### Momentum equations:

$$\frac{\partial}{\partial t} u - \Omega v + \frac{\partial}{\partial x} \left( \frac{u^2 + v^2}{2} \right) = \frac{\delta \Phi}{\delta \pi^*} \left[ \frac{\partial \pi^*}{\partial x} \right]_z + F_u$$

$$\frac{\partial}{\partial t} v + \Omega u + \frac{\partial}{\partial y} \left( \frac{u^2 + v^2}{2} \right) = \frac{\delta \Phi}{\delta \pi^*} \left[ \frac{\partial \pi^*}{\partial y} \right]_z + F_v$$

### 1<sup>st</sup> law of thermodynamics:

$$\frac{\partial}{\partial t} (\Theta \delta p^*) + \nabla_h \cdot [\vec{V} \Theta \delta p^*] = H$$

### Hydrostatic balance

$$\delta \Phi = -C_p \Theta \delta \pi^*$$

# Finite Volume algorithms are built on 3 basic integral theorems

- **Divergence theorem:** for constructing the flux-form advection operator

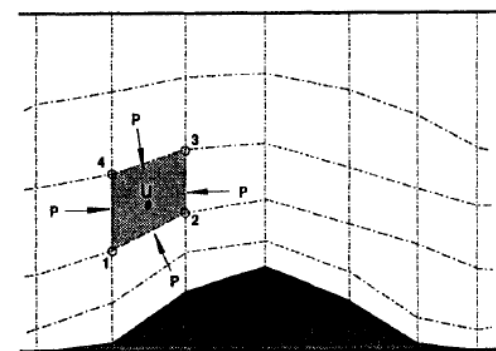
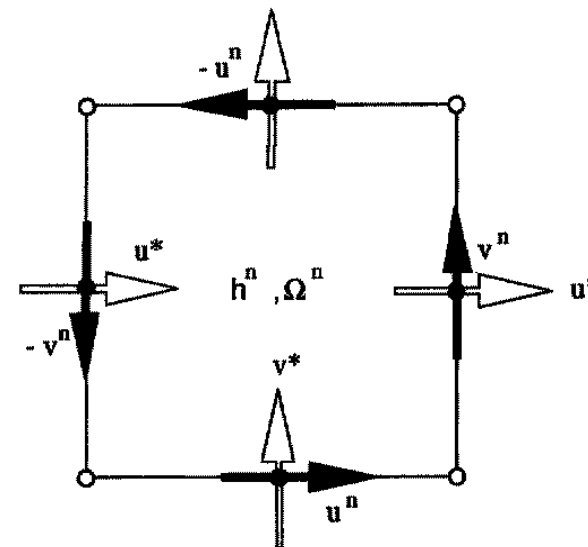
$$\iint_S (\nabla \cdot \rho q \vec{V}) ds = \oint_L \rho q \vec{V} \cdot \vec{n} dl$$

- **Stokes theorem:** for vorticity computation

$$\iint_S (\nabla \times \vec{V}) \cdot d\vec{s} = \oint_L (\vec{V} \cdot \vec{\sigma}) dl$$

- **Green's theorem:** for computing pressure gradient

$$\iint_S \frac{\partial P}{\partial x} ds = \oint_L P dx$$



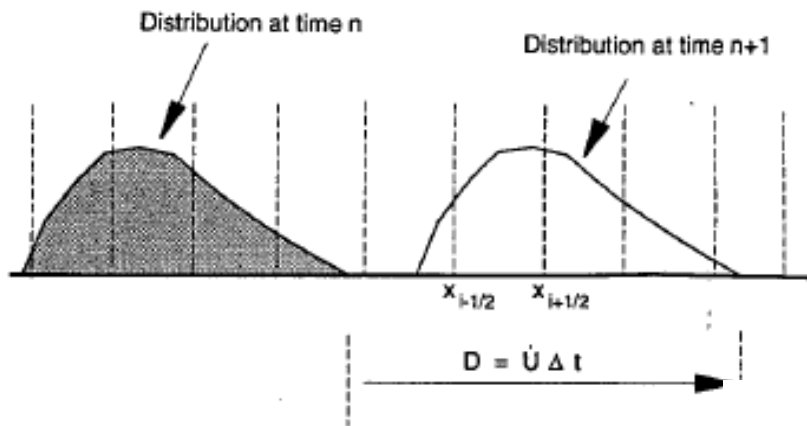
(Lin 1997, QJ)

## Minimal reading list for the FV algorithms:

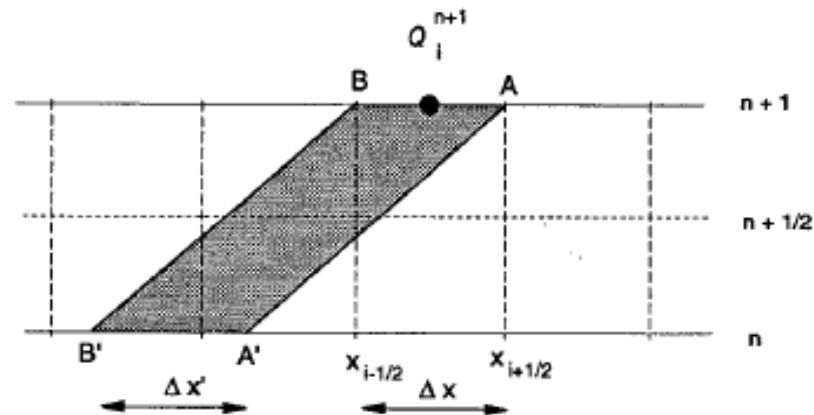
1. Advection (transport) process: *Lin and Rood 1996, Mon. Wea. Rev.*
2. Shallow water dynamical framework: *Lin and Rood 1997, QJ.*
3. Pressure gradient in general vertical coordinate: *Lin 1997, QJ.*
4. Vertical discretization: *Lin 2004, Mon. Wea. Rev.*
5. Cubed-sphere geometry: *Putman and Lin 2007, JCP.*
6. Two-way regional-global nesting: *Harris and Lin 2012, Mon. Wea. Rev.*
7. *\*Non-hydrostatic solvers\**: *Lin (manuscript; hopefully 2012)*

# Physically based advection scheme

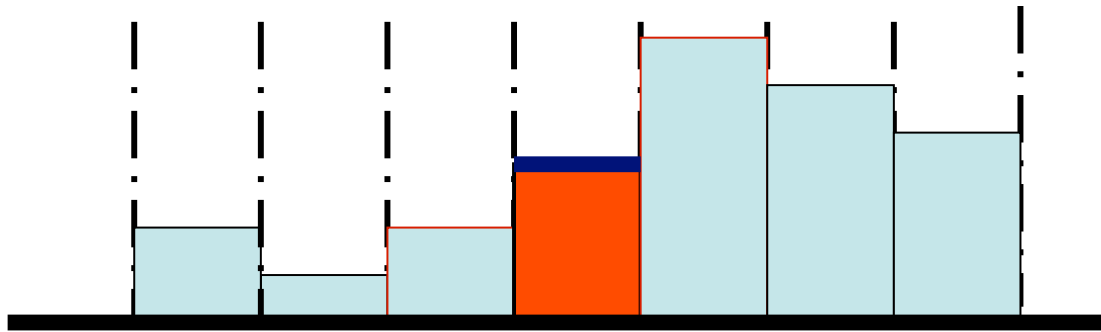
(Lin & Rood 1996, MWR)



1D transport by “shifting” the  
finite-volume mean grid  
structure (resolved + subgrid)

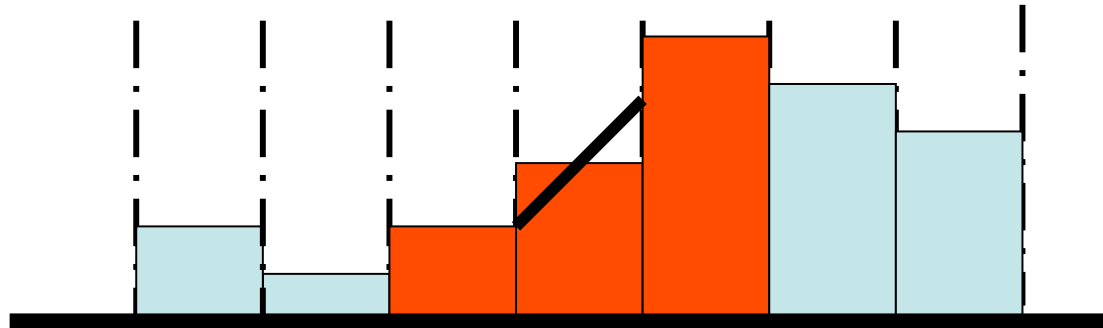


**Piece-wise constant distributions are constructed using only one finite-volume mean**



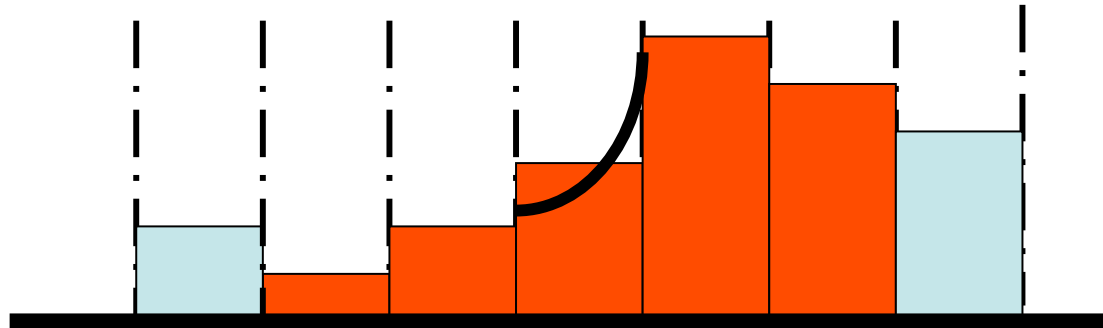
classical upwind scheme: 1st order accurate

# Piece-wise linear distributions are constructed using 3 finite volumes



van Leer-type schemes: 2nd order accurate  
(Lin et al, 1994, MWR)

# Piece-wise parabolic subgrid distributions can be constructed using only 5 finite volumes



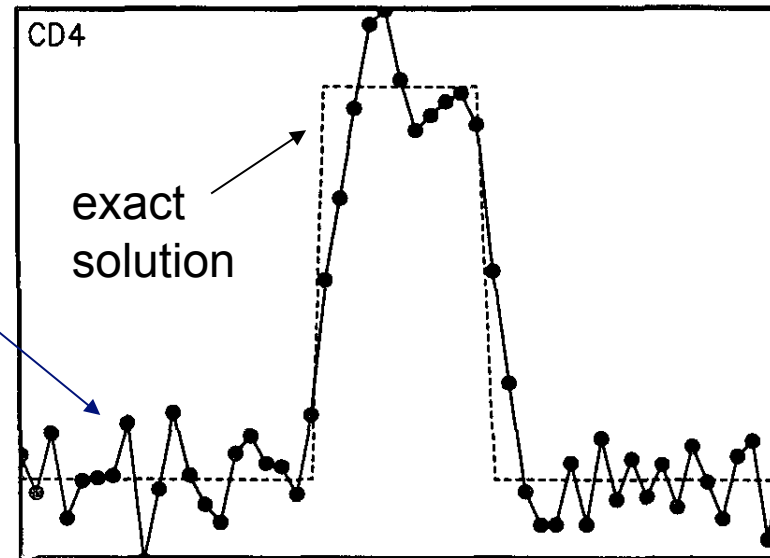
Piecewise Parabolic method (PPM): 3rd or 4th order accurate  
(Colella and Woodward 1984, JCP; Lin and Rood 1996, MWR)



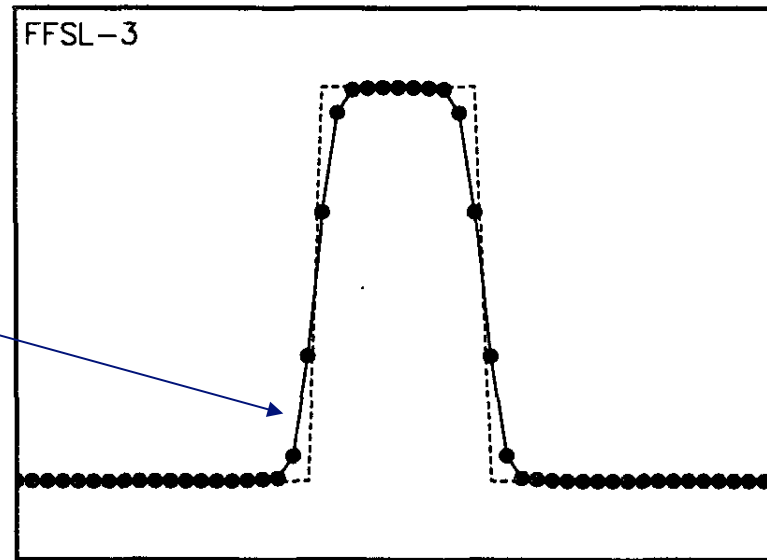
## 1D Advection: mathematically vs. physically based schemes

(Lin and Rood 1996, MWR)

4<sup>th</sup> order center difference  
(mathematically based)

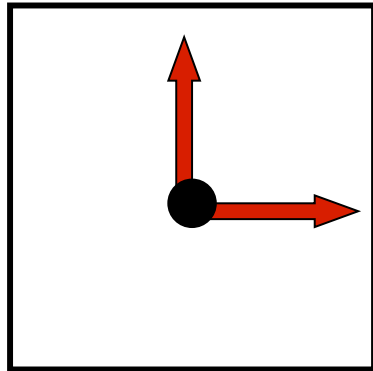


Monotonic PPM  
(physically based)

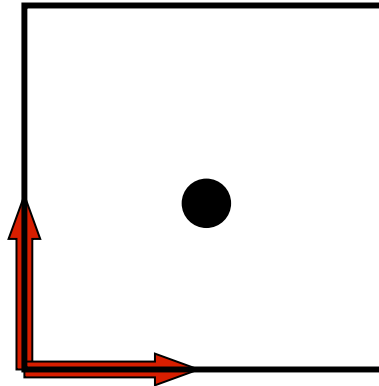


# The “ABC” (and D) of wind-vector staggering

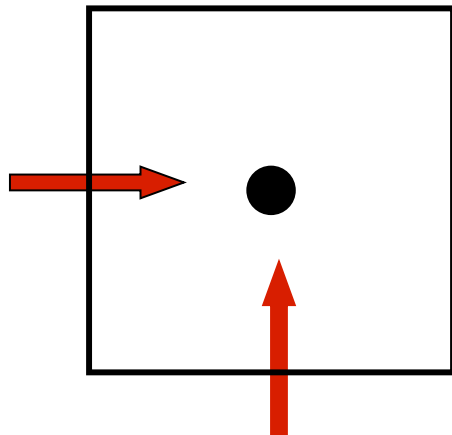
**A**



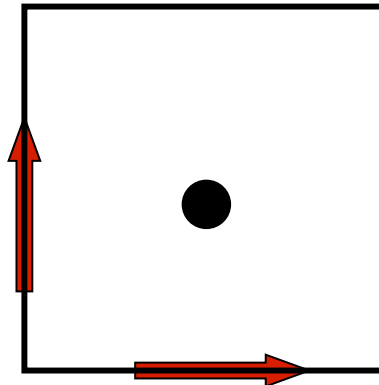
**B**



**C**



**D**



## Issues to consider:

- pressure gradient
- divergence
- coriolis force
- (absolute) vorticity advection (nonlinearity)
- coupling to physics & ocean

A freshman's guide on Grid staggering:  
 0: best 1: average 2: worst  
 (assuming 2<sup>nd</sup> order center difference)

| Grid type              | A | B | C | D        |
|------------------------|---|---|---|----------|
| Pressure Grad          | 1 | 1 | 0 | 2        |
| Divergence             | 1 | 1 | 0 | 2        |
| Coriolis force         | 0 | 0 | 2 | 0 (or 2) |
| vorticity              | 1 | 1 | 2 | 0        |
| Time step size*        | 1 | 2 | 2 | 1        |
| Phys-dynamics coupling | 0 | 2 | 1 | 1        |
| Equal weighting sum    | 4 | 7 | 7 | 6 (or 8) |

Note: higher order scheme can be used for critical operations



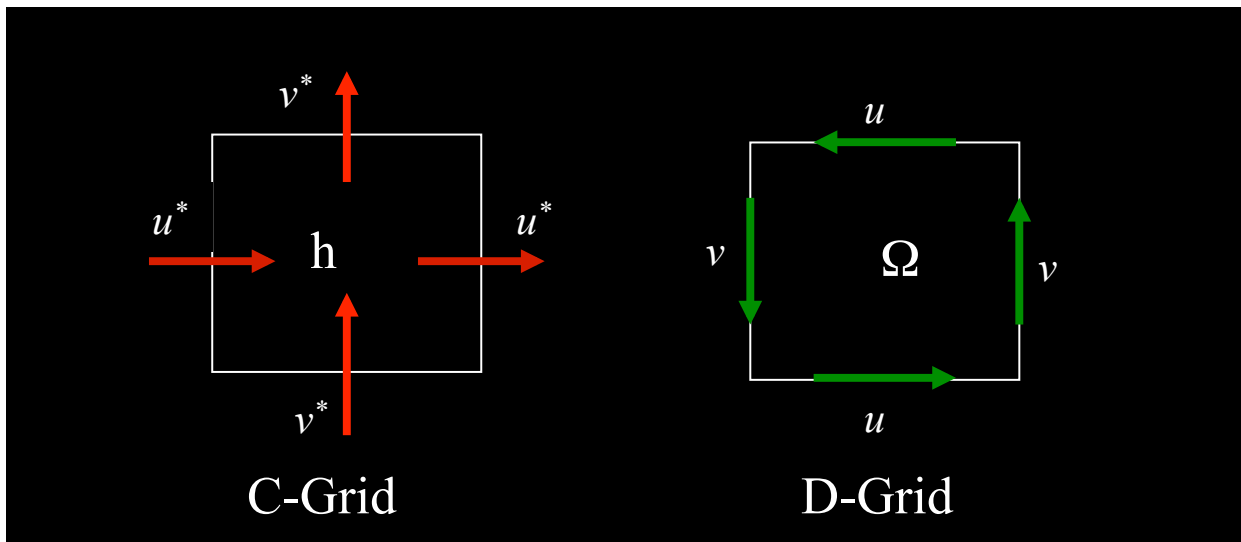
# More notes on grid staggering:

- Based solely on conventional linear shallow-water-wave analysis (e.g., Messinger and Arakawa 1977, GARP monograph), it has been declared by some that “*C grid is the best*”. However, the (vector wind) staggering is neither important nor relevant if a higher-order spatial discretization or a Riemann-solver approach is used (in the latter case one shall not stagger the prognostic variables)
- Under the assumption of 2<sup>nd</sup> order center differencing (as in conventional analysis), D grid is indeed the worst grid for divergence and pressure gradient computation. But most people do not realize that C grid is the worst grid for Potential Vorticity (PV) advection and Coriolis force computation and it requires the smallest time step; whereas D grid is the best choice if the PV advection approach (Lin and Rood 1997) can be adopted.
- In choosing grid staggering, non-linear behavior (in particular, vorticity/PV advection) has often been overlooked!
- The grid staggering issue gets more complicated in the more general (non-orthogonal) curvilinear coordinate system
- What is a good compromise between C and D grids? **Answer:** try to combine their strength while avoiding their weakness

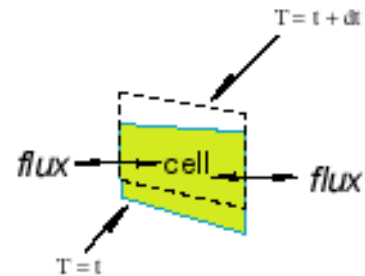
# Horizontal discretization

## The two-grid Approach (Lin and Rood 1997, QJ)

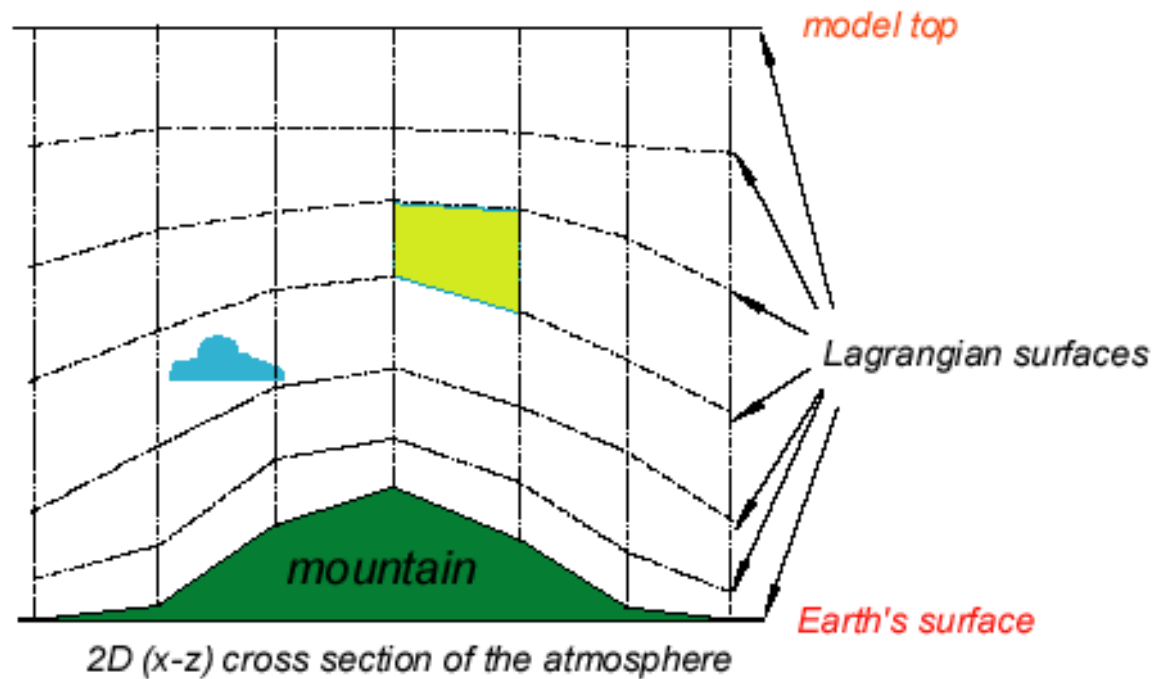
- The two-grid approach is designed to transport mass and absolute vorticity consistently (therefore, PV). It also avoids the generation of two-grid length gravity waves.
- The time-centered winds ( $u^*, v^*$ ) are integrated on the C-Grid for half-time-step
- The time-centered winds are then used for the transport of the vorticity and all scalars on the D-Grid



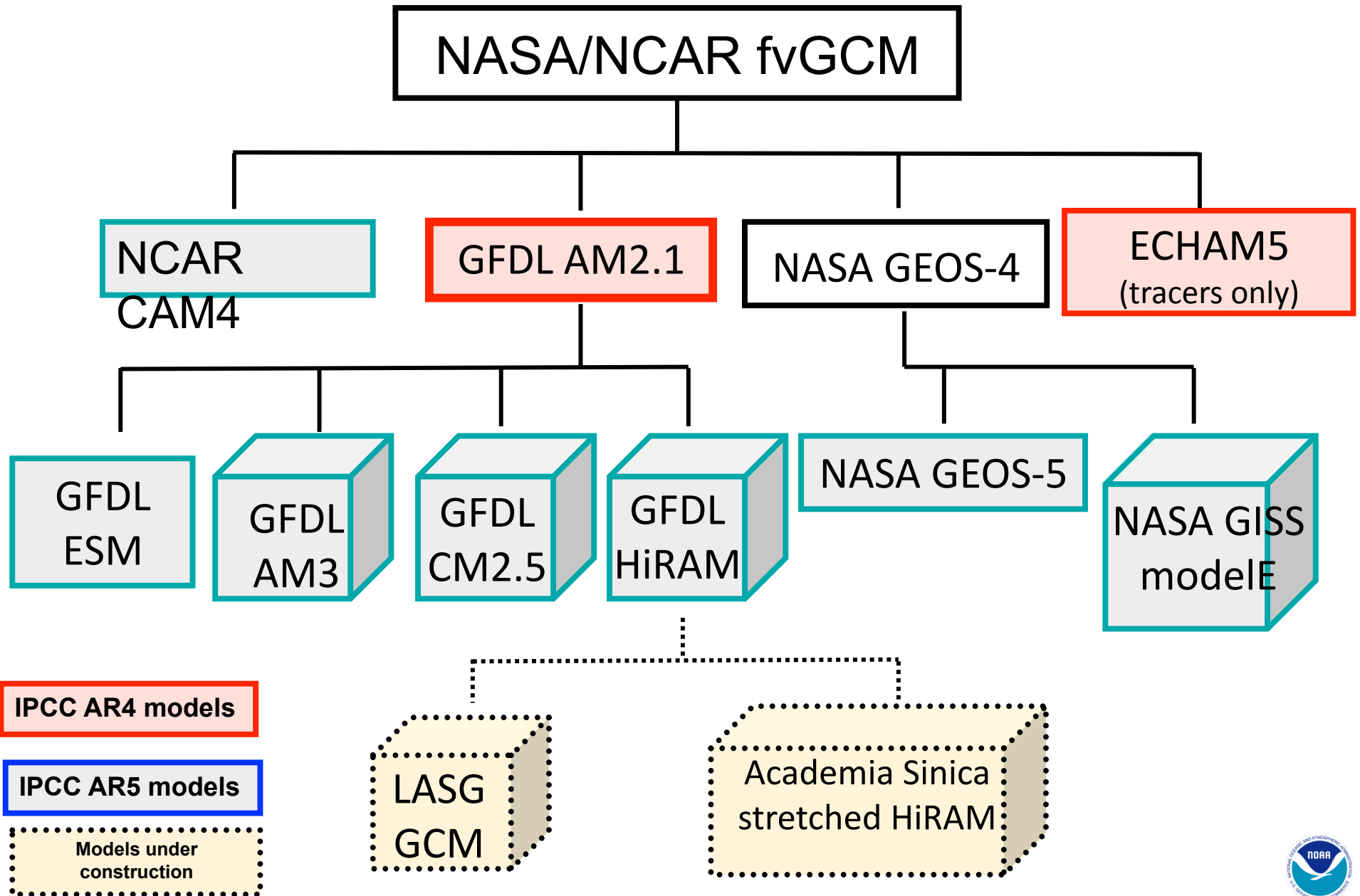
# Vertically Lagrangian Control-Volume Discretization



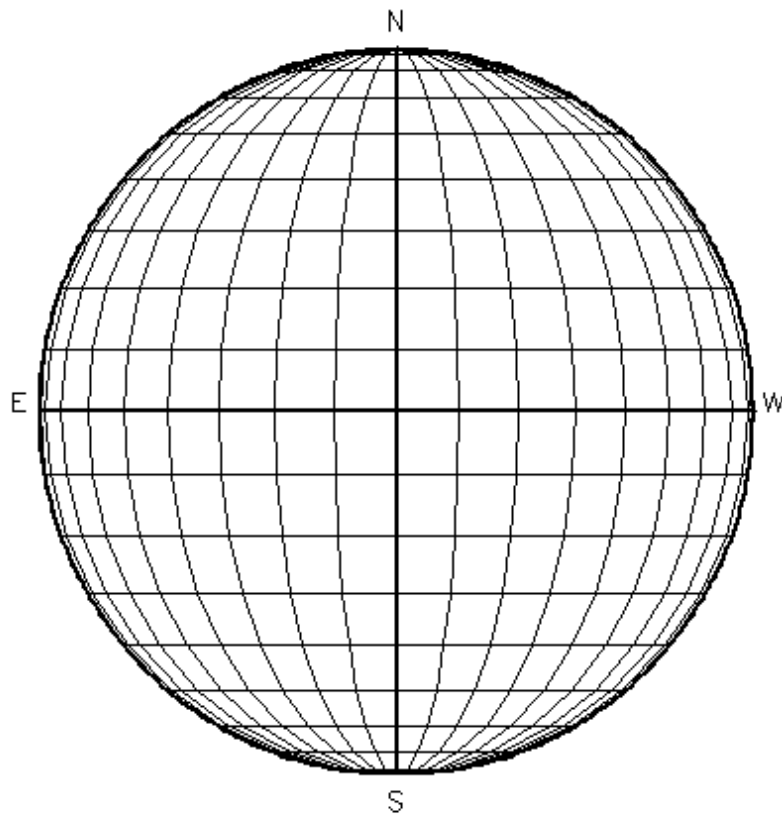
- Horizontally Eulerian (Lin and Rood 1996)
- Vertically Lagrangian control-volume discretization (Lin 2004)



# The finite-volume model family tree



# The traditional latitude-longitude grid is not suitable for ultra-high resolution modeling

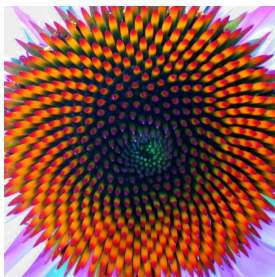


- The existence of two polar singularities prevented effective 2D domain decomposition
- The extreme grid aspect ratio at poles poses a scientific difficulty for non-hydrostatic dynamical core formulation

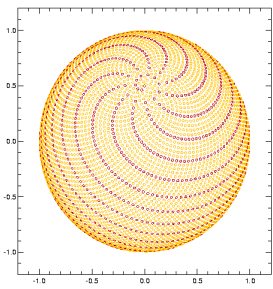


# The search for the optimal grid on the sphere

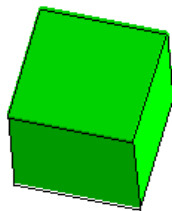
coneflower



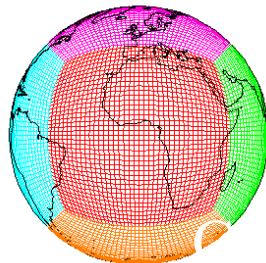
Fibonacci grid



Hexahedron/cube



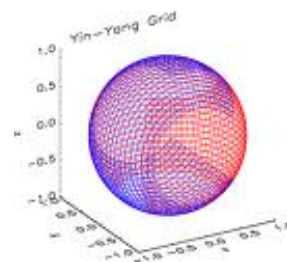
Cubed-sphere grid



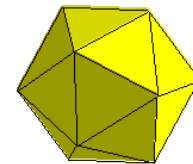
Yin-Yang



Yin-Yang grid

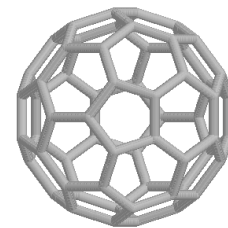


Icosahedron (Plato,  
~ 400 BC)

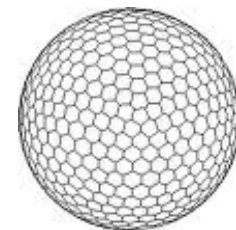


C60

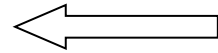
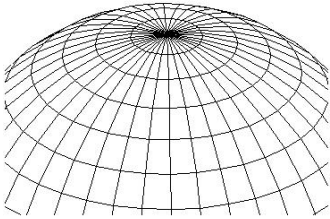
(1996 Nobel chemistry price)



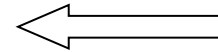
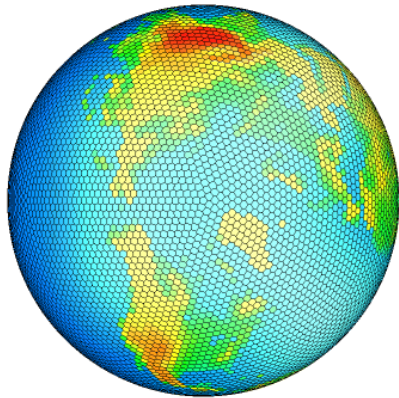
Geodesic grid



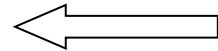
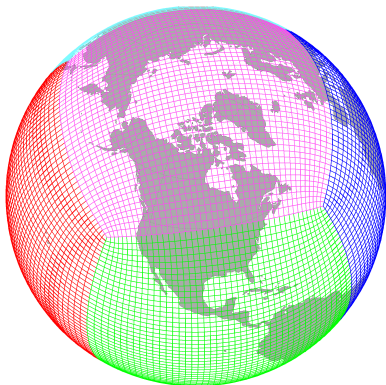
## Formulating the geophysical flow equations on the sphere



**Spherical coordinate:** orthogonal, extreme grid aspect ratio with two singularities; not suitable for high-resolution

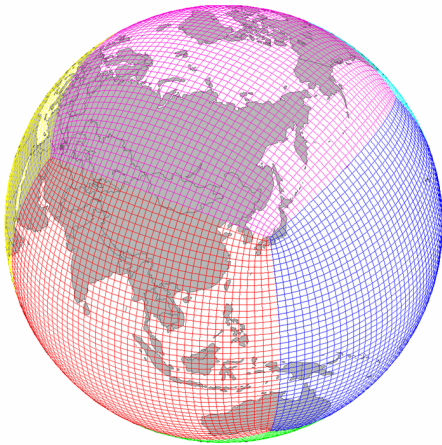


**Icosahedral grid:** non-orthogonal, most uniform grid aspect ratio with no true singularity (but with 12 pentagons)



**Cubed sphere:** slightly non-orthogonal, good grid aspect ratio with 8 minor singularities (corners)

Cubed Sphere 44x44x6



## An equal-distance Gnomonic Cubed Sphere grid

- Defined by intersects of great circles with equal-distance along 12 edges
- Maximum local grid aspect ratio  $\sim 1.061$
- Maximum global grid aspect ratio  $\sim 1.414$

## Commonly used resolution at GFDL:

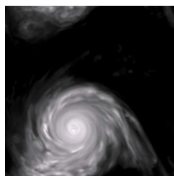
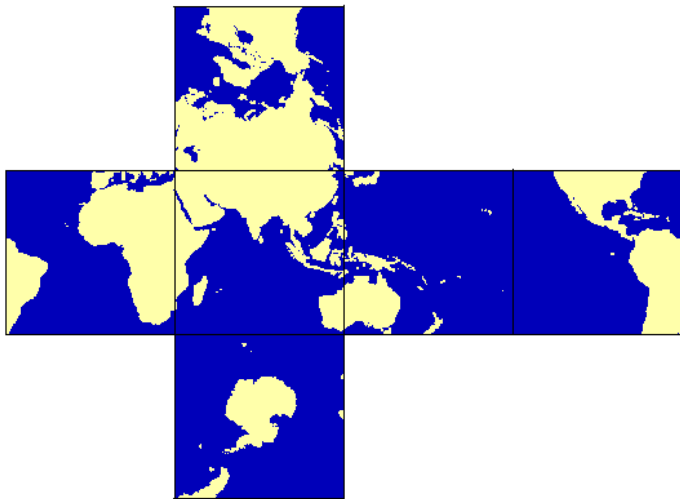
C2000,  $\Delta x = [3.92, 5.55] \sim 4.5$  km

C360 ,  $\Delta x \sim 25$  km

C180 ,  $\Delta x \sim 50$  km

C90 ,  $\Delta x \sim 100$  km

C48 ,  $\Delta x \sim 200$  km

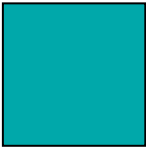
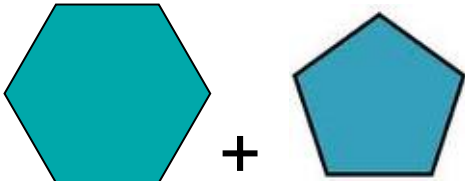


Hurricane in a doubly periodic box



Can also be used as a regional model

# Cubed-sphere vs icosahedral grid

|  |  |  |
|--|--|---|
| Grid uniformity/aspect ratio<br>(time stepping efficiency) | ~ 0.7<br>(Gnomonic grids)  | 0.7 ~ 0.8   |
| Wave propagation   | good   | potentially better  |
| Numerics: Advection & pressure gradients                   | High-order FV scheme developed & implemented                                       | High-order FV scheme much more difficult to implement                               |
| Parallel efficiency  | Potentially better   | good  |
| Grid nesting   | Straightforward  | difficult   |

## Vertically Lagrangian non-hydrostatic FV core on the cubed sphere

$$\frac{\partial}{\partial t} \delta p^* + \nabla_h \cdot [\vec{V} \delta p^*] = 0$$

$$\frac{\partial}{\partial t} (\Theta \delta p^*) + \nabla_h \cdot [\vec{V} \Theta \delta p^*] = 0$$

$$\frac{\partial}{\partial t} u - \Omega \tilde{v} \sin \alpha + \frac{\partial}{\partial x} \left( \frac{\tilde{u}u + \tilde{v}v}{2} \right) = \frac{\delta \Phi}{\delta p^*} \left[ \frac{\partial p'}{\partial x} \right]_z + \frac{\delta \Phi}{\delta \pi^*} \left[ \frac{\partial \pi^*}{\partial x} \right]_z$$

$$\frac{\partial}{\partial t} v + \Omega \tilde{u} \sin \alpha + \frac{\partial}{\partial y} \left( \frac{\tilde{u}u + \tilde{v}v}{2} \right) = \frac{\delta \Phi}{\delta p^*} \left[ \frac{\partial p'}{\partial y} \right]_z + \frac{\delta \Phi}{\delta \pi^*} \left[ \frac{\partial \pi^*}{\partial y} \right]_z$$

$$\frac{\partial}{\partial t} (w \delta p^*) + \nabla_h \cdot [\vec{V} w \delta p^*] = g \delta p'$$

$$\delta m = \delta p^* / g = -\rho \delta Z$$

$$p = p^* + p'$$

$$\frac{\partial}{\partial t} \delta Z + \delta [\vec{V} \cdot \nabla_h Z] = \delta w$$

*hydrostatic*

$$\delta Z = \frac{-1}{g} C_p \Theta \delta \pi^*$$

Solved by either a **Riemann Invariant Method (RIM)** OR a **Semi-Implicit Method (SIM)**



# Non-hydrostatic solvers

- **Semi Implicit Method (SIM)**
  - 4<sup>th</sup> order finite-volume discretization
  - Stable for arbitrary time step size
  - Best for mid- to low resolution (100 km to 5 km)
- **Riemann Invariant Method (RIM)**
  - Based on conservation of Riemann invariants with open (for sound waves) top boundary condition
  - Fully explicit; conditionally stable (up to CFL  $\sim 100$  in the PBL)
  - Suitable for cloud-resolving resolution (  $< 5$  km)

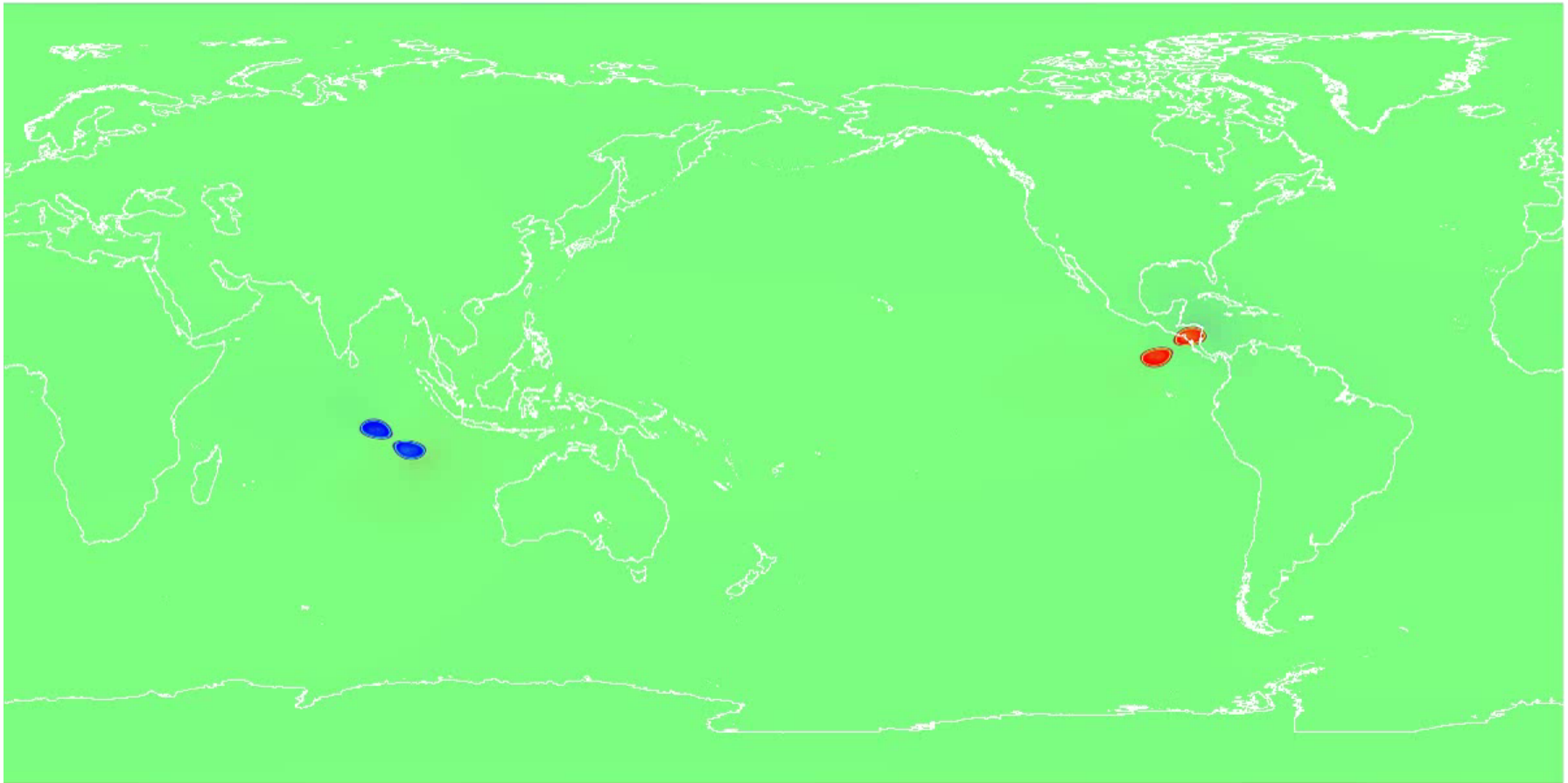
# The finite-volume dynamical core enables the development at GFDL of a unified regional-global weather-climate modeling system suitable for all temporal-spatial scales

## Examples:

- Simulations of TC climatology and response to warming scenarios (50km, Zhao et al. 2009)
- IPCC AR5 high-res time-slice versions (200, 50, and 25 *km*)
- TC seasonal predictions (25km; Chen and Lin 2011)
- Intra-seasonal TC predictions (25 *km*, Gall et al 2010)
- 5-10 day hurricane predictions (HFIP; 10 ~ 25 *km*)
- Global “cloud-resolving” experiments (3.5 *km*)
- Regional cloud-resolving radiative-convective equilibrium (1 *km* and 500m)

# Nonlinear interaction of Rankine vortices

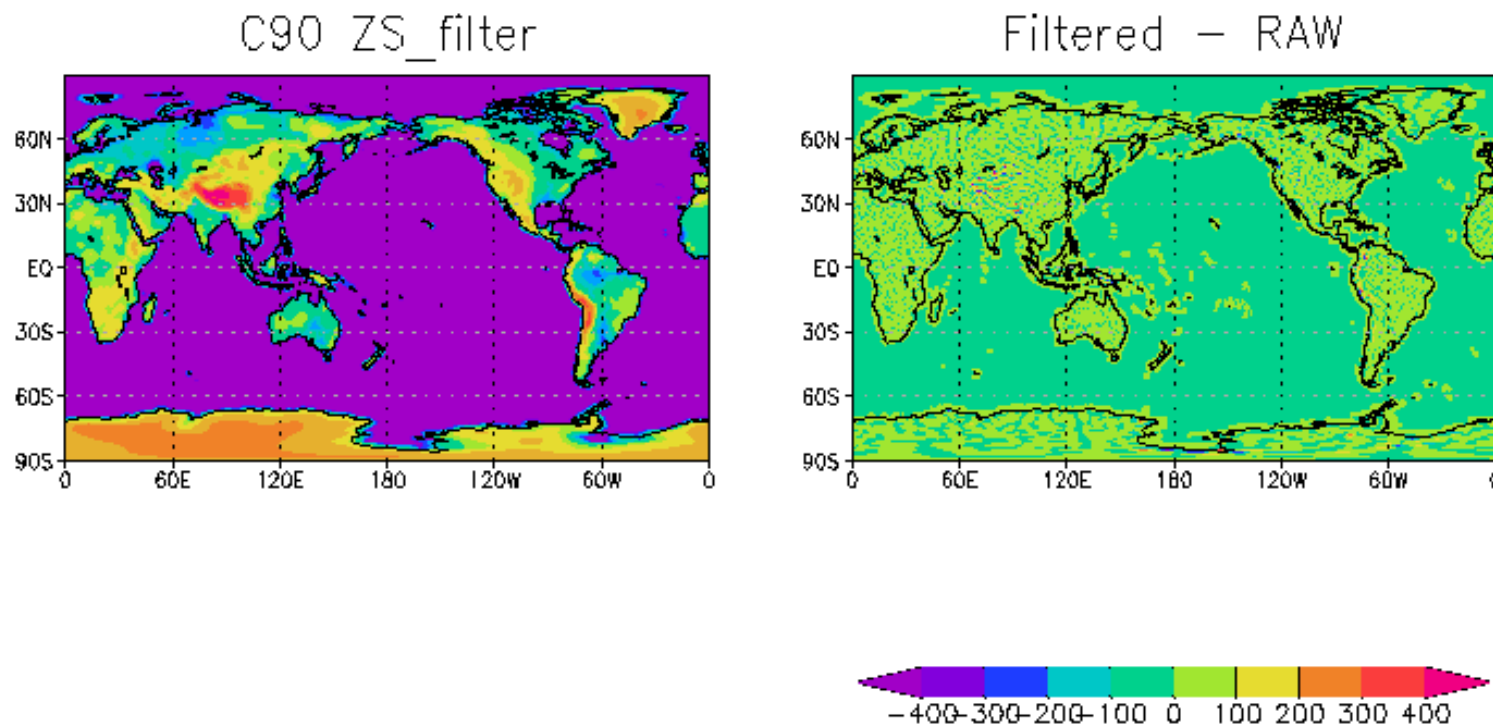
IC: Two pairs of anti-symmetrical Rankine vortex embedded in motionless “shallow water”



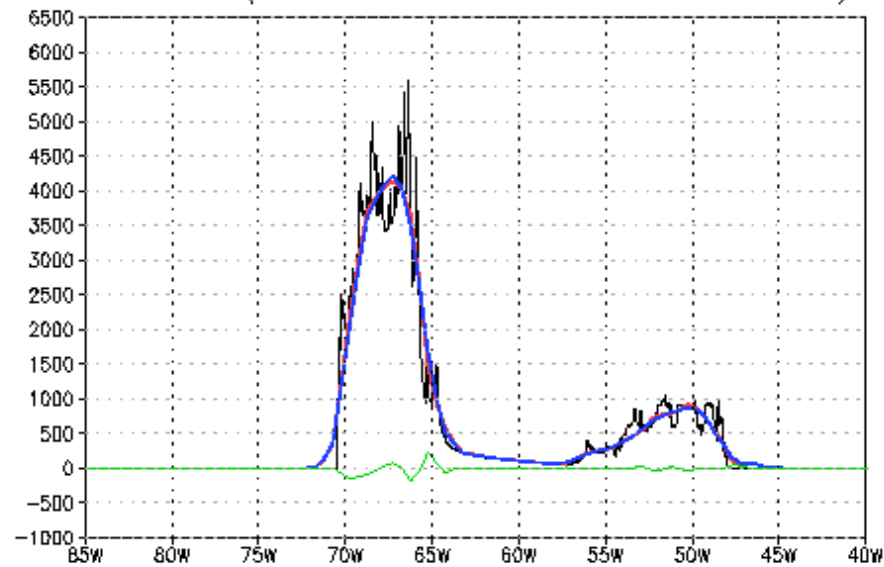


# Island preserving terrain filter in GFDL cubed-sphere models

- Flux-form diffusive filter with fluxes set to zero if either side of the FV cell is covered completely by water
- Diffusive fluxes are designed to mainly filter out un-resolvable structures (2-delta-waves).

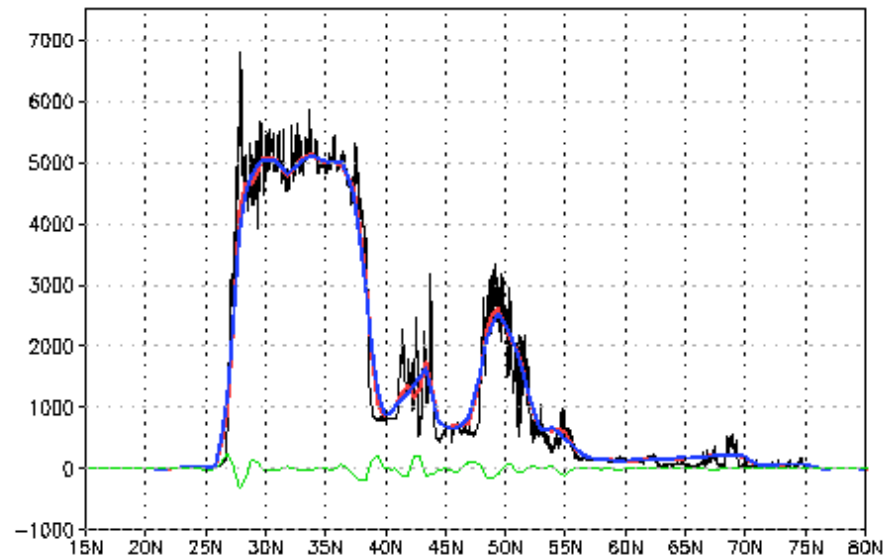


Andes (E-W cross section at 25 S)



- USGS 1-min
- Raw 1x1
- Filtered 1x1
- Filtered - Raw

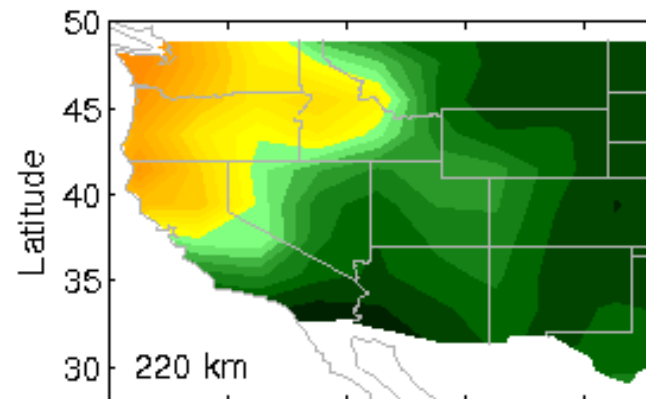
Himalaya (N-S cross section at 88 E)



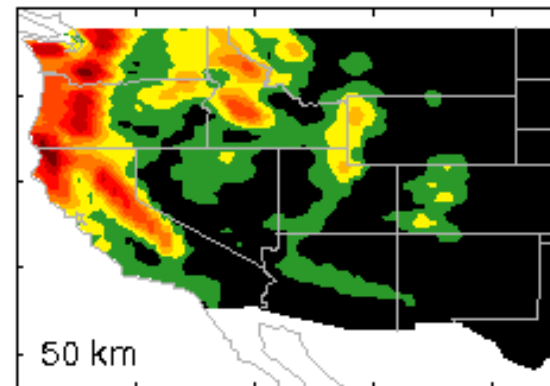
# DJF precipitation in Western US:

## *GFDL models vs. PRISM*

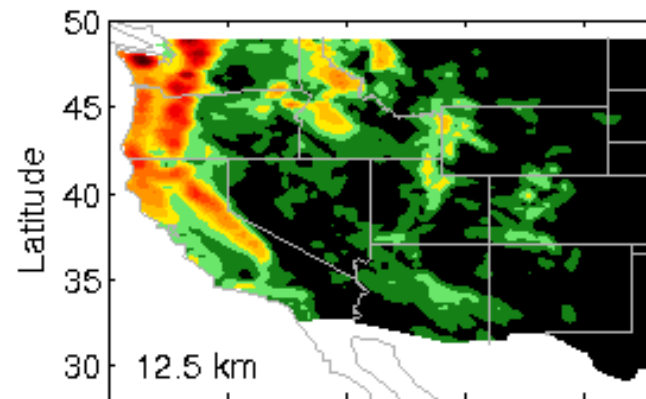
**GFDL AM2  
(220 km)**



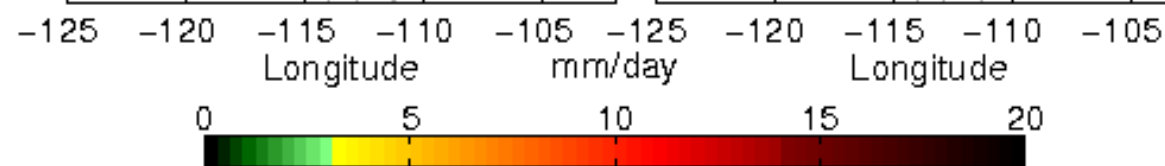
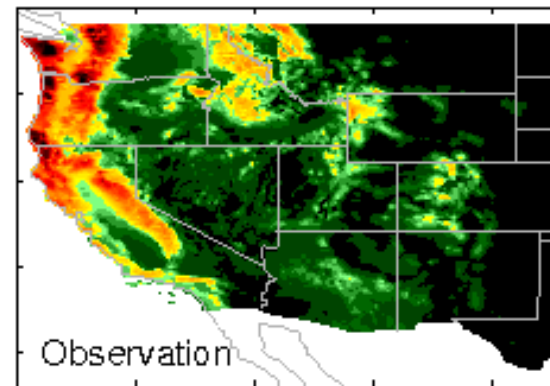
**50-km  
GFDL  
HiRAM**



**12.5-km  
GFDL  
HiRAM**

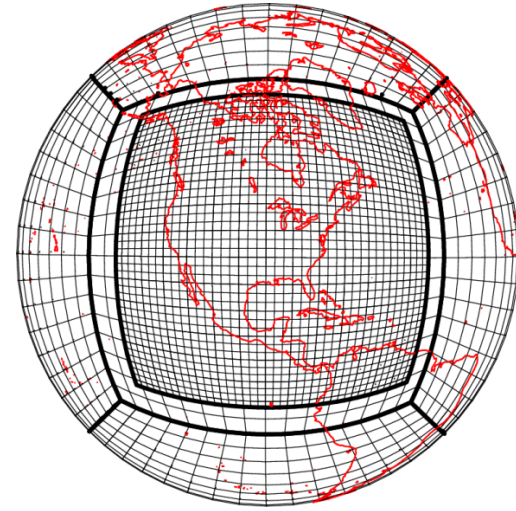


**PRISM  
(obs)**



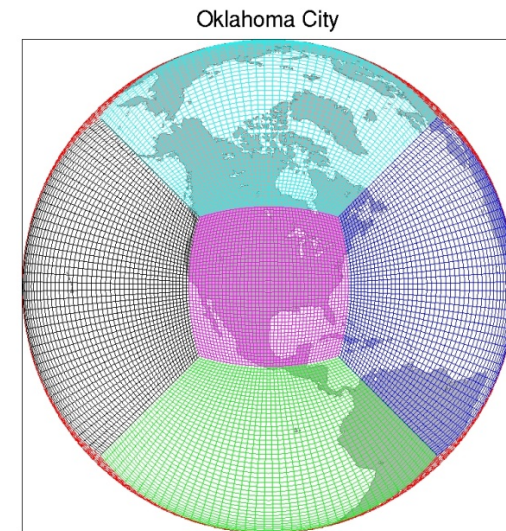
## A. Nested regional-global climate model:

- 3X grid-size reduction; regional component can be run independently (for down-scaling) or coupled with global component to allow feedback to “global” changes

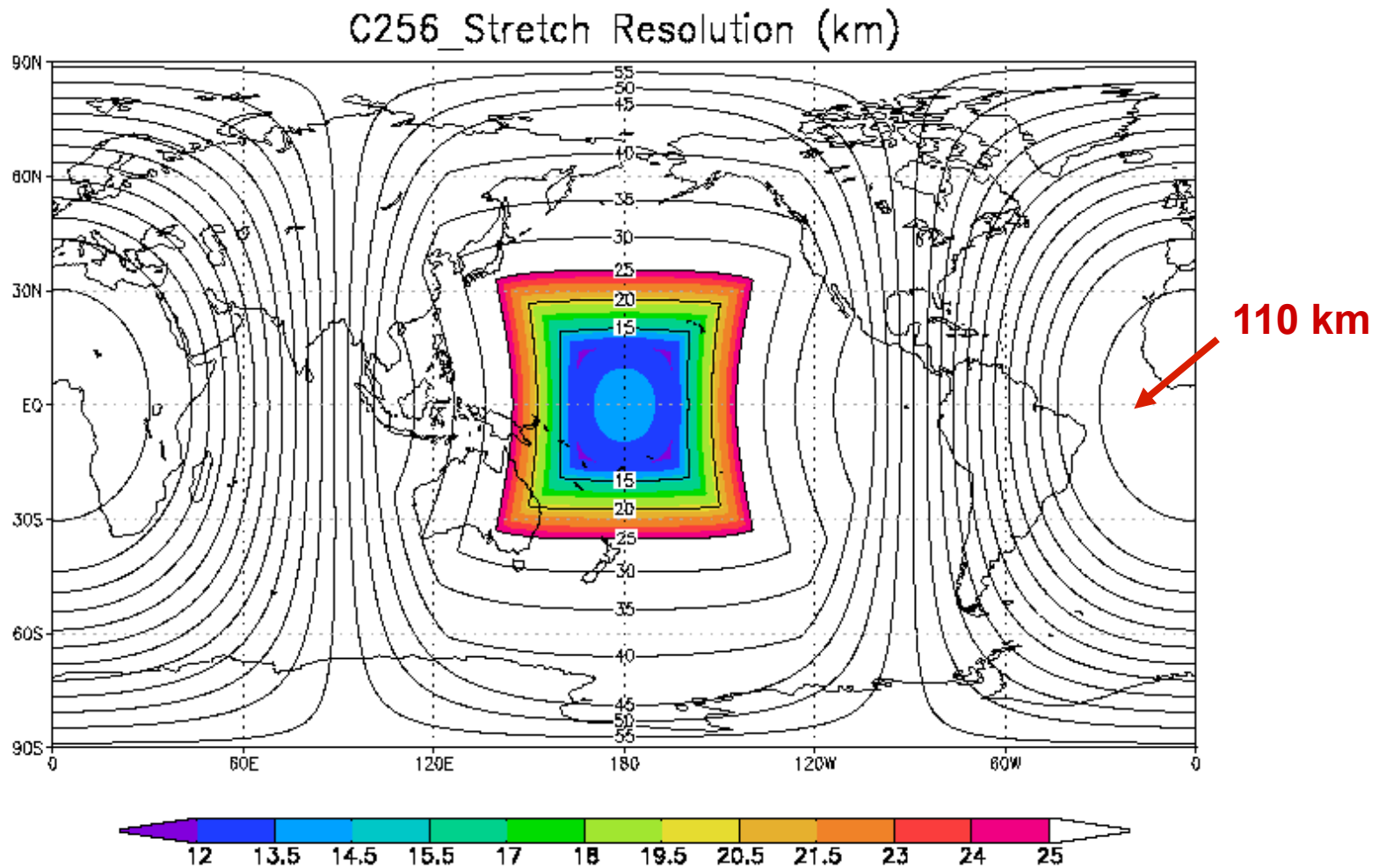


## B. Variable resolution (via Schmidt transformation) climate model

- Single model framework with smooth transition in resolution with 3X grid-size reduction in target region (e.g., NA with ~ 4 km resolution); 3X enlargement on the back side



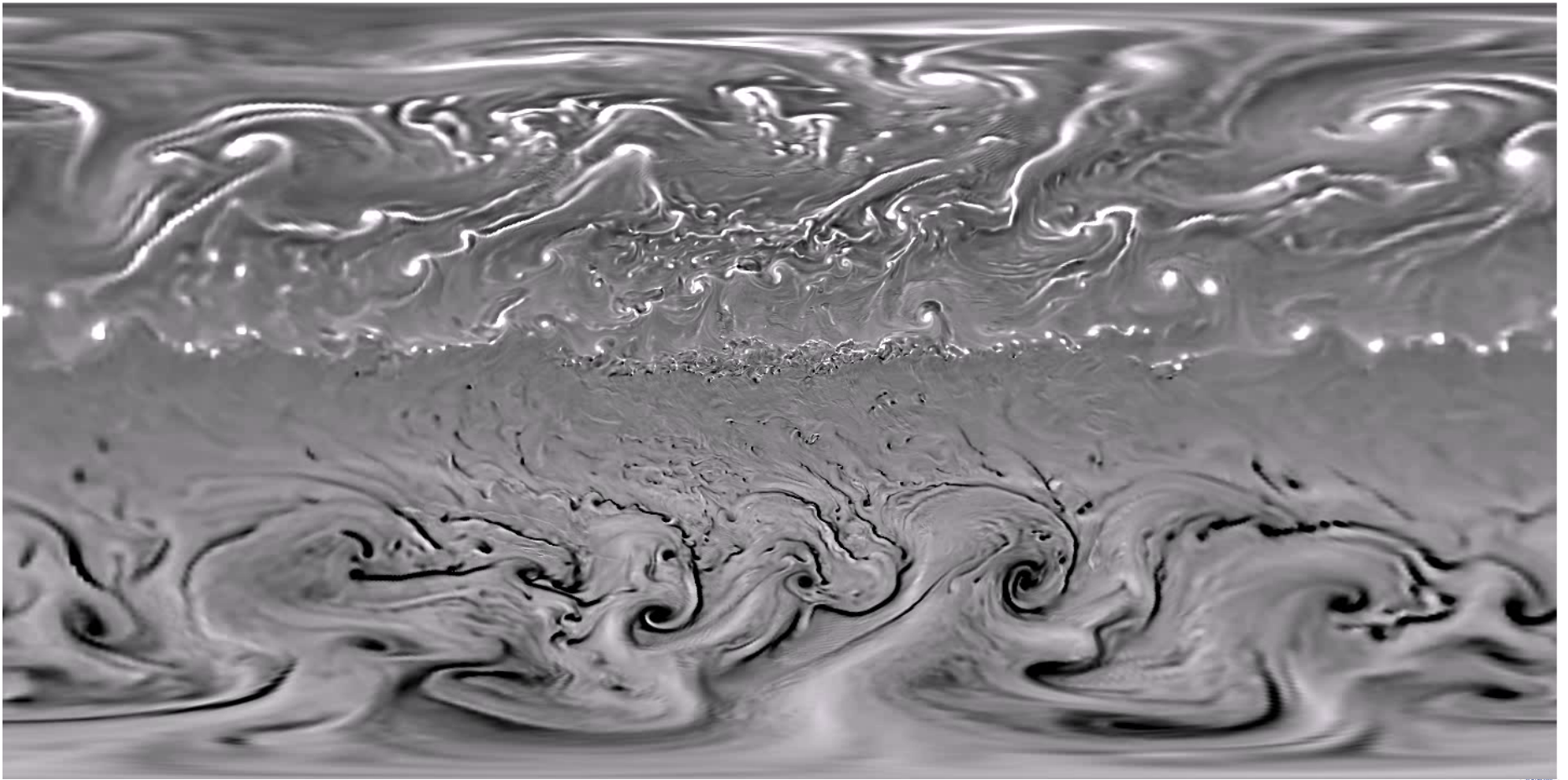
## Aqua-planet test (HiRAM\_MP)





## Aqua-planet: surface vorticity

C256-Stretched (12 km – 110 km)

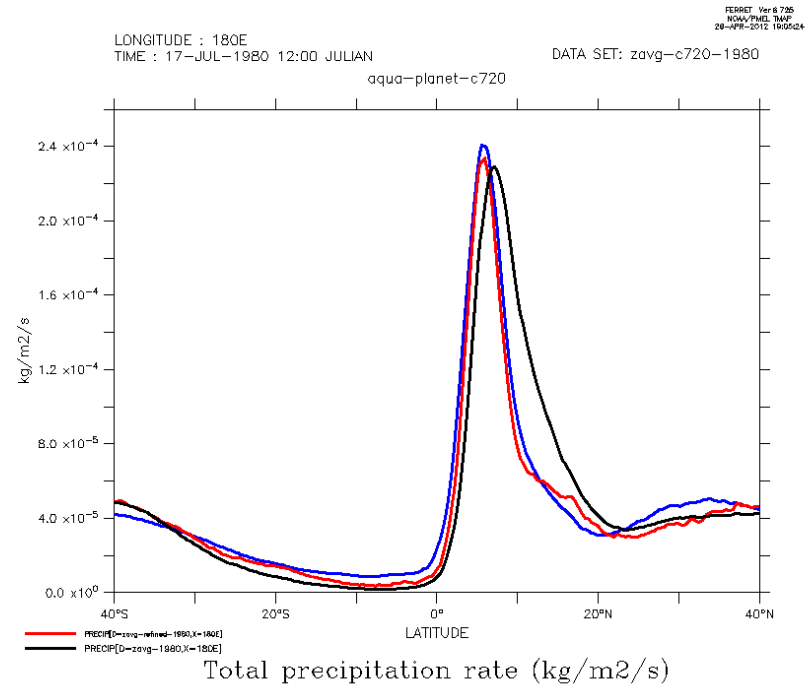
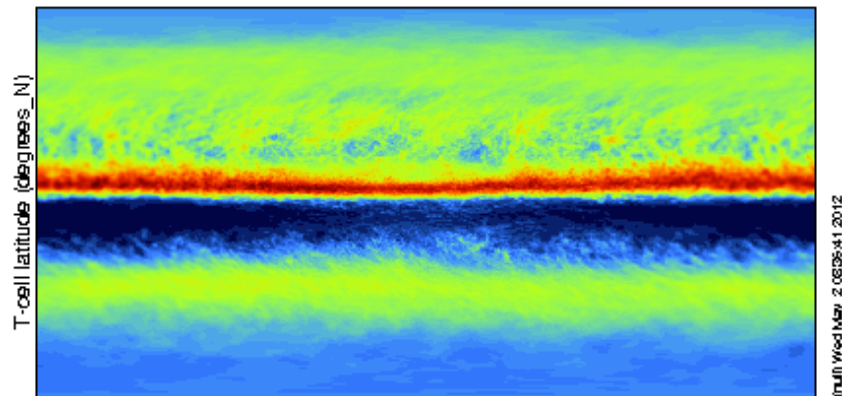


# Aqua-planet with September SST

Variable resolution: 12 km – 110 km

## Annual mean precipitation

total = 3.5, LS=3.0 (mm/day)



## Final notes:

### Some unique properties of a consistently formulated finite-volume dynamical core

- With the vertically Lagrangian discretization, condensate loading effect is easy to implement, and there is no vertical CFL condition
- Dry air and tracer mass are conserved, and an initially constant tracer mixing ratio will remain constant
- In shallow water mode, PV is advected exactly the same as any other tracers. Since the advection is monotonic, no false PV gradient will be created in uniform PV region. In contrast, with a pure C grid formulation height field and absolute vorticity evolve differently, leading to inconsistent PV advection (the situation is much worse if time-splitting is used, e.g., some cloud resolving models based on C grid)
- On a non-rotating planet (or a Cartesian geometry), an initially irrotational flow (vorticity=0) will remain irrotational (*i.e.*, no false vorticity generation) with the FV core (not so with other models)